

Docket No. 289724US0X PCT



MAIL STOP PCT
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ulrike LICHT, et al.

SERIAL NO: 10/578,178

GAU:

FILED: May 4, 2006

EXAMINER:

FOR: POLYURETHANE DISPERSION COMPRISING SILOXANE GROUPS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

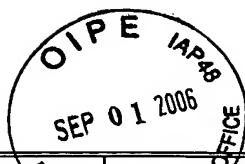
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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 124824US0X PCT | SERIAL NO. 10/578,178 | | |
|---|----|--|----------|---|--------------------------|--|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT | | APPLICANT Ulrike LICHT, et al. | | | | | |
| | | FILING DATE May 4, 2006 | | GROUP | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
| | AB | | | | | | |
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| | AN | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | | |
| | AO | 1 354 902 | 10-22-03 | EP (equivalent of US 2003 0198819) | YES | NO | |
| | AP | 12 48 287 | 08-24-67 | DE (equivalent of GB 1 176 490) | YES | NO | |
| | AQ | 100 20 195 | 10-31-01 | DE (equivalent of US 2003 105223) | YES | NO | |
| | AR | 02 055576 | 07-18-02 | WO | YES | NO | |
| | AS | 02 064657 | 08-22-02 | WO (with English abstract and equivalent of US 2004 077777) | YES | NO | |
| | AT | | | | YES | NO | |
| | AU | | | | YES | NO | |
| | AV | | | | YES | NO | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner | | | | Date Considered | | | |

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/578,178

Filed: May 4, 2006

Ulrike LICHT, et al.

Docket No. 289724US0XPCT

STATEMENT OF RELEVANCY

- 1) References AO-AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References [REDACTED] have been cited in the corresponding [REDACTED] Search Report. A copy of these references is being submitted herewith.
- 3) Reference AS is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References [REDACTED] are additional prior art known to Applicant. A copy of these references is being submitted herewith.